



SHRI
DHARMASTHALA
MANJUNATHESHWARA
UNIVERSITY

Ordinance Governing Enrolment of Candidates
for Research Leading to Ph. D.
2019-20

Amended on 20-05-2021

SHRI DHARMASTHALA MANJUNATHESHWARA UNIVERSITY

(A State Private University established under the Shri Dharmasthala Manjunatheshwara University
Act No 19 of 2018 of Government of Karnataka and Notification No. ED 261 URC 2018 dated 19th December 2018)

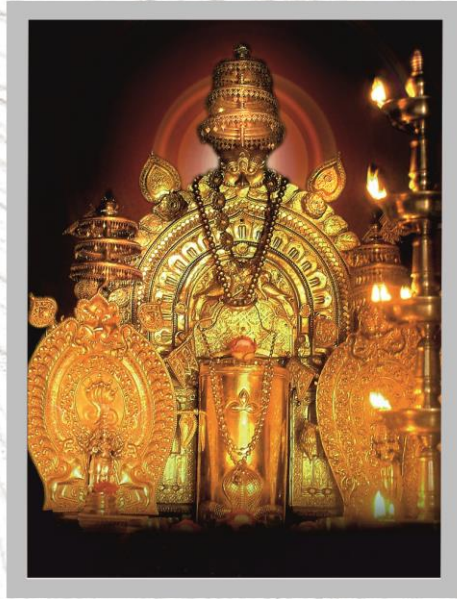
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|| Om Shri Manjunathaya Namaha ||



Shree Kshethra Dharmasthala

Edition Year : 2019-20

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THE LOGO

Poojya Dr D. Veerendra Heggade, Hon'ble Chancellor of the University, while searching for an appropriate Logo for the University, saw a photograph picked from Temple Architecture showing Wings of a Bird, sculpted in Indian style and wanted it to be incorporated in the logo for the University, as the Wings symbolize 'Spreading of Knowledge beyond Boundaries'. Further it was felt that the Central theme of the logo should be 'Rudra' (The Linga) with three wings on each side. In this way, the logo of the University was conceptualized.

Hence:

1. The central part represents **Rudra** who Demolishes Darkness.
2. The Three **horizontal lines on The Linga** stand for Samyak Darshan (Right Belief), Samyak Gyan (Right Knowledge) and Samyak Charitra (Right Conduct).
3. The **Wings** symbolize spreading of Knowledge across the boundaries.
4. Base line "**Truth Liberates**" highlights the Purpose of Education: to liberate oneself unconditionally. It shows that it is not discipline, nor knowledge nor the efforts to freedom that liberate but Truth is what liberates you from all your conditioning and ignorance.

The overall significance of Shri Dharmasthala Manjunatheshwara University's Logo is:

Darkness of ignorance is destroyed by the flow of knowledge to bring Liberty to everyone, by realizing the truth. And, it should spread globally without the boundaries as hindrance.



SHRI
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VISION

Shri Dharmasthala Manjunatheshwara University will set the highest standards of teaching and learning by awakening the intelligence of the students and nurturing the creativity hidden in them by creating an environment where the ancient wisdom blends with modern science, to transform them into whole human beings to face the challenges.

MISSION

- ▶ To ensure that the journey of education is inspiring, pleasant and enjoyable.
- ▶ Attract the best of teachers and students.
- ▶ Achieve high principles of trust, love and spirituality in the students.
- ▶ Create a collaborative, diverse and exclusive community.
- ▶ Transform the student of today to be a leader of tomorrow and a better human being.
- ▶ Produce passionate teachers.
- ▶ Evolve innovative teaching techniques.
- ▶ Create a peaceful environment.
- ▶ Prepare the student to face the social challenges.
- ▶ Create a University of which the Nation is proud of.
- ▶ Be an effective partner in Nation Building.
- ▶ Create an Eco-friendly University.
- ▶ Create a University based on the principles of beauty, love and justice.

||Om Shanti! Om Shanti! Om Shanti||



SHRI
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UNIVERSITY

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SDMU/2020/Notif-42/144/2020

Date: 19-08-2020

NOTIFICATION

Ordinance Governing Enrolment of Candidates for Research Leading to Ph.D. - 2019

- Ref: 1. Minutes of the 2nd Meeting of the Academic Council held on 22nd November-2019
(Letter No: SDMU/AC/M2/2019/541; Dated: 25-11-2019)
2. Minutes of the 4th Meeting of Board of Management held on 04-12-2019
(Letter No: SDMU/BOM/M4/2019/573; Dated: 16-12-2019)

Ordinance: In exercise of the powers conferred under Statutes 1.2 (Powers and Functions - Section vii) and 1.4 (Powers and Functions - Sections ix & x) of Shri Dharmasthala Manjunatheshwara University, the BoM is pleased to approve and notify the **Ordinance Governing Enrolment of Candidates for Research Leading to Ph.D. - 2019**.

The ordinance shall be effective for the students joining the courses during 2019-20 and onwards.

REGISTRAR
REGISTRAR,
Shri Dharmasthala Manjunatheshwara
University, Dharwad

To: Heads of The*Constituent Institutes of the University

Copy for Information to:

1. Hon'ble Vice Chancellor, Shri Dharmasthala Manjunatheshwara University
2. Pro Vice Chancellor (Academics), Shri Dharmasthala Manjunatheshwara University
3. Controller of Examinations, Shri Dharmasthala Manjunatheshwara University
4. Members of Ph.D. Committee, Shri Dharmasthala Manjunatheshwara University
5. Office of the Registrar
6. University Office for Records File and website





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SDMU/Notif-42/F-4/199/2021

Date: 20-05-2021

NOTIFICATION

Ordinance Governing Enrolment of Candidates for Research Leading to Ph.D-Amendment

- Ref: 1. Minutes of the 2nd Meeting of the Academic Council held on 22nd November-2019 (Letter No: SDMU/AC/M2/2019/541; Dated: 25-11-2019)
2. Minutes of the 4th Meeting of Board of Management held on 04-12-2019 (Letter No: SDMU/BOM/M4/2019/573; Dated: 16-12-2019)
3. Notification No.SDMU/2020/Notif-42/144/2020 Dated: 19-08-2020
4. Minutes of the 9th Meeting of the Ph.D. held on 29th March 2021
5. Minutes of the 7th Meeting of the University Executive Committee held on 29th April 2021.

Ordinance: In exercise of the powers conferred under Statutes 3.3 (Powers and Functions - Sections xiii) of Shri Dharmasthala Manjunatheshwara University, Vice Chancellor has approved for the deletion of below items in Point No. VII, Sub Para E:

Item No 1. "Should be a center of academic excellence and repute or institute of National importance" and

Item No 2. "Should have been actively functioning and should have been functioning for at least 5 years"

Both items stand deleted in the **Ordinance Governing Enrolment of Candidates for Research Leading to Ph.D. - 2019-20**. Dated 19-08-2020 with effect from the date of this notification.



Lt. Col. U. S. Dinesh (Retd.)

REGISTRAR
REGISTRAR,

Shri Dharmasthala Manjunatheshwara
University, Dharwad

- To: 1. Heads of The Constituent Institutes of the University
2. Members of BoG, BoM & Academic Council, Shri Dharmasthala Manjunatheshwara University

Copy for Information to:

1. Hon'ble Vice Chancellor, Shri Dharmasthala Manjunatheshwara University
2. Pro Vice Chancellor (Academics), Shri Dharmasthala Manjunatheshwara University
3. Controller of Examinations, Shri Dharmasthala Manjunatheshwara University
4. Chairperson & Members, Ph.D. Committee
5. Office of the Registrar
6. University Office for Records File

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I. ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAM:

Subject to the conditions stipulated in these regulations, the following persons are eligible to seek admission to the Ph.D. Programme under various disciplines:-

A. GENERAL

A post Graduate Qualification in the respective Branch as in note C, D, E, F & G with 55 per cent marks in aggregate or its equivalent grade "B" in the UGC 7-point scale (or an equivalent grade in a point scale depending on the grading system followed).

Note:

- (i) A relaxation of 5% marks, (from 55% to 50%), or an equivalent relaxation of grade, shall be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently abled and other categories of candidates.
- (ii) The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures. The Percentage shall not be rounded off to the next figure.
- (iii) The eligibility of candidates satisfying the eligibility criteria for admission to Ph.D. programme except for the criteria of having required percentage of marks mentioned at "I.A" and "I.B" above at their qualifying examination; because of the declaration of the result of the respective universities without marking or grade system will be decided by Shri Dharmasthala Manjunatheshwara University.

B. The Students who score 50% and above marks in the Entrance Examination are declared qualified for admission as per calendar of events in that particular academic year only. (Ref-UGC 2016)

C. For Medical: Candidate with MD/MS/Medical Post Graduate Diploma/M.Sc. in Medical subjects are eligible for enrolment for Ph.D. course in concerned discipline only.

D. For Dental: Candidates with a post graduate degree in Dental subjects (MDS) are eligible for enrolment to Ph.D. Course in Dentistry in concerned discipline only.

- E. For Physiotherapy: Candidates with a post graduate degree in Physiotherapy i.e., MPT degree awarded by this University or a Master's degree from other universities recognized as equivalent by Shri Dharmasthala Manjunatheshwara University are eligible for enrolment to Ph.D. course in Physiotherapy.
- F. For Nursing: Candidates with M.Sc./M.Phil. degree in Nursing awarded by this University or a College/University recognized by Indian Nursing Council or a post graduate degree in Nursing considered as equivalent by Shri Dharmasthala Manjunatheshwara university / India Nursing Council are eligible for enrolment for Ph.D. course in concerned discipline of Nursing.
- G. Biological/Biomedical Sciences: Ph.D. programme is offered only in fulltime mode. Candidates with master's degree in Biomedical, Bioscience, Biotechnology, Biochemistry, Microbiology, Animal Science, Veterinary Science, Medical, Dental, Pharmacy, allied health sciences and any related life sciences programmes awarded by a recognized college/university considered equivalent by Shri Dharmasthala Manjunatheshwara University are eligible for enrolment for Ph.D. course in biological/biomedical sciences.

Note for foreign university candidates:

1. The candidates qualified from foreign Universities intending to register for Ph.D. programme should obtain "equivalence of qualification certificate"/eligibility certificate from Shri Dharmasthala Manjunatheshwara University before admission.
2. All foreign nationals should produce valid passport, visa and police permission for residential permit to stay in India.
3. All the foreign nationals should get the temporary registration done from the respective speciality apex bodies e.g. Medical Council of India, Dental Council of India, Indian Nursing council, UGC etc. as applicable.

II. DURATION OF THE Ph.D. PROGRAMME:

- A. Ph.D. in Medical, Dental, Physiotherapy and Nursing Sciences: The course duration for full time Ph.D. course will be a minimum of three years and for part time course it will be minimum of four years and in both the cases it will be extendable up to maximum of six years from the date of registration.

- B. Extension beyond the above limits may be considered by the Ph.D. Committee on case to case basis for a maximum period of one year, based on the representation submitted by the student and the Research Supervisor.
- C. Women candidates and persons with disability (more than 40% disability) may be allowed an extension for a maximum period of two years for Ph.D.
- D. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.
- E. In case of relocation of a Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, with prior consent of the Research Supervisor and approval of the Shri Dharmasthala Manjunatheshwara University. For the permission to relocate the candidate must have fulfilled all the conditions in letter and spirit laid down in the regulations. The research work pertaining to the project should not have been funded by the parent institute or Shri Dharmasthala Manjunatheshwara University.

III. PROCEDURE FOR ADMISSION:

- A. The University will notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.
- B. All the candidates intending to register for Ph.D. programme shall appear for the entrance test conducted by the University. The Entrance Test shall be qualifying test for admission.
- C. The Entrance Test shall be conducted at the centre(s) notified by the University.

- D. The Admission will be after an Entrance Test with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the centre(s) notified in advance (changes of centres, if any, also to be notified well in advance) at the level of the individual constituent unit of the University.
- E. The viva-voce will also consider following aspects, viz.
1. To evaluate the research aptitude of the applicants.
 2. To evaluate the competence of the candidate for the proposed research.
 3. Feasibility of undertaking the research work at the Institution/College.
 4. If the proposed area of research contributes to new/additional knowledge.
- F. The Research Scholar will choose his/her Research Supervisor based on the merit and availability of the Research Supervisor of their respective specialty. A Research Supervisor will be allowed to take only one Research Scholar per year, not exceeding a total of six candidates at any given point of time.
- G. The admissions will be carried out once every academic year. The eligibility and marks obtained at the entrance test will be valid for admission for that particular academic year only.
- H. The candidates who have been intimated about their selection for Ph.D. program shall have to report to the Principal of the College/Head of the institute to which the Department belongs, through the supervisor and Head of the Department after paying the prescribed fees.
- I. The admitted candidates have to renew their admissions every year by paying the tuition fees at the Finance Office of the Shri Dharmasthala Manjunatheshwara University.
- J. The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/ her Research Supervisor/co-Research Supervisor and date of enrolment/registration.

IV. ELIGIBILITY CRITERIA FOR RESEARCH SUPERVISORS AND CO-RESEARCH SUPERVISORS

- A. Only Teaching/Research faculty working full time in the Institutions can act as a Research Supervisor. External Research Supervisors will not be accepted.
- B. The Research Co-Supervisor from other departments of the same institute or from other related units of Shri Dharmasthala Manjunatheshwara University may be allowed in related inter-disciplinary research activities with the approval of the Ph.D. Committee of the respective faculty.
- C. The Research Supervisor is not permitted to supervise a new Ph.D. scholar under him/her three years prior to his/her date of retirement decided by Shri Dharmasthala Manjunatheshwara University.
- D. In case the student is not in a position to complete the work prior to the Research Supervisor's retirement, the Research Supervisor should transfer the candidate to another recognized Research Supervisor from the department with prior permission from the University or get an extension letter from the Dean/Principal of the current Institution stating that the Research Supervisor will continue to be full time teacher even after retirement till the submission of his student's thesis to the University.
- E. A recognized Research Supervisor may register as a Ph.D. Scholar for Ph.D. programme and he will decline from supervising any Ph.D. Scholar. Any Ph.D. Scholar registered under him/her must be transferred to other Research Supervisor with approval from Ph.D. Committee before his/her enrolment to Ph.D. programme.
- F. A Research Scholar, while pursuing his/her Ph.D. will not be permitted to guide a Research Scholar irrespective of his eligibility.
- G. A Research Supervisor desirous of pursuing Ph.D. shall not be permitted to continue as Member of Ph.D. Committee of Shri Dharmasthala Manjunatheshwara University and similarly no Ph.D. Research scholar shall be appointed as Shri Dharmasthala Manjunatheshwara University Ph.D. Committee member.

- H. A Research Scholar is permitted to request for change in the Research Supervisor under circumstances like Research Supervisor going on long leave for health or other issues.
- I. Any regular Professor of this University affiliated institutes with at least five research publications in refereed journals and any regular Associate/Assistant Professor of this university with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor.
- J. Provided that in areas/disciplines where there is no or only a limited number of refereed journals available, the institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- K. Only a full time regular teacher of the University Affiliated College can act as a supervisor. However, Research Co-supervisor can be allowed in inter disciplinary areas from other departments of the same institute or from other related institutions of Shri Dharmasthala Manjunatheshwara University with the approval of the Ph.D. Committee.
- L. In case of topics which are of inter-disciplinary nature where the concerned department feels that the expertise in the department needs to be supplemented, the department may appoint a Research Co-Supervisor from outside Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- M. A Research Supervisor/Co-supervisor who is a Professor will not supervise more than six Ph.D. scholars at any given point of time.
- N. A Research Supervisor/Co-supervisor who is an Associate Professor will not supervise more than two Ph.D. scholars at any given point of time.
- O. A Research Supervisor/Co-supervisor who is an Assistant Professor will not supervise more than one Ph.D. scholars at any given point of time.
- P. A Research Supervisor can be a Research Co-Supervisor in other institutes/universities with prior permission from the Ph.D. Committee of Shri Dharmasthala Manjunatheshwara University.

- Q. The Vice Chancellor may invite highly qualified and experienced academicians/scientists from within the country or from abroad as "Invited Supervisors" to utilize their expertise for the benefit of the institution. However, for all other purposes including the administrative purposes the candidate will be supervised by the Research Supervisor from the parent department.
- R. Research Co-supervisor: For inter disciplinary research work; a candidate may have one Research Co-supervisor from other department, in addition to the Research Supervisor from the concerned specialty. The Research Co-supervisor from other departments of the same institute or from other institutes affiliated to Shri Dharmasthala Manjunatheshwara University will be allowed to supervise the scholar after approval from Research Advisory Committee of Shri Dharmasthala Manjunatheshwara University (Appendix 1 of this Ordinance – Constitution and Functions of Research Advisory Committee (RAC)).
- S. In addition to Research Supervisor for any project, if agreed by the Research Advisory Committee of Shri Dharmasthala Manjunatheshwara University a maximum of two Research Co-supervisors from different disciplines involved in the project may be allowed.
- T. Care-taker supervisor: Because of temporary non-availability of Research Supervisor, a candidate will be supervised by a Care-taker supervisor.
1. A Care-taker Supervisor must be recognized as Ph.D. Supervisor by Shri Dharmasthala Manjunatheshwara University.
 2. A care taker supervisor will be from the same department where the Research Scholar is registered.
 3. The tenure of Care-taker supervisor shall be up to one calendar year from the date of appointment.
 4. In case the original supervisor fails to return within a year, the Care-taker Supervisor shall take over as Research Supervisor of the scholar.
 5. A Care Taker Supervisor will not exceed the maximum number of permissible candidates he/she is eligible to supervise.
- U. A candidate will not work under a supervisor who is his/her kith and kin as defined by SDM University.

V. COURSE WORK:

A. Pre-Ph.D. Course work

1. The coursework shall be treated as pre requisite for Ph.D. preparation. A minimum of one or more courses on research methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field will be covered in the Pre-Ph.D. Course.
2. All course prescribed for Ph.D. course work shall be in conformity with the credit hours, instructional requirement and shall specify content, instructional and assessment methods duly approved by the authorized academic body.
3. All the candidates admitted to the Ph.D. programme shall be required to complete the Pre-Ph.D. Course work prescribed by the university during the initial one year as per UGC Regulations covering the topics mentioned in the syllabus for Ph.D. programme.
4. The candidate shall have minimum of 80% attendance during the course work.
5. At the end of the course work, the university will conduct Pre-Ph.D. Examination.
 - a. The candidate will be eligible to appear for the Pre-Ph.D. Examination only after the completion of one year from the date of registration, provided his/her first and second half yearly progress reports are satisfactory and approved by the Ph.D. committee.
 - b. The Provisional Ph.D. Registration of the candidate will be confirmed only after the candidate passes the Pre-Ph.D. Examination.
 - c. The scheme for Pre-Ph.D. examination to be conducted by the University shall be as follows:
 - (a) Two written papers each of three hours duration each carrying 100 marks.
 - (b) PAPER I: Research Methodology And biostatistics.
 - (c) PAPER II: Topic/area of research undertaken by the scholar for the proposed research work.
 - (d) A Viva-Voce examination carrying 100 marks for candidates who have passed the theory examination.

B. Conduct of Pre-Ph.D. Examination

1. The Ph.D. Committee along with the names suggested by the concerned Research Supervisors shall prepare an exhaustive panel of both external and internal examiners and recommend the same to the Office of the COE and Hon'ble Vice Chancellor
2. The University / Controller of Examinations (COE) will constitute a Board of Examiners for conduct of the Pre Ph.D. Examination.
3. The Board of Examiners for the Pre Ph.D. Examination will consist of the following:
 - a. One Internal Examiner (Chairman) who is a recognized Research Supervisor
 - b. One external examiner chosen by the University

Note: The examiner shall fulfil the criteria for eligibility established for the Ph.D. Research Supervisors by Shri Dharmasthala Manjunatheshwara University.

4. The Internal Examiner and External Examiner chosen by the Registrar from the panel of examiners recommended by the Ph.D. Committee will set two sets of question papers for both Papers I and Paper II.
5. They will submit the question paper sets to COE, Shri Dharmasthala Manjunatheshwara University observing the instructions in the letter of appointment as question paper setter.
6. After the conduct of theory examination, the answer scripts will be sent to the examiners for valuation.
7. There shall be double valuation of the answer scripts of Paper-I and Paper II by the same examiners who have set the question papers. The marks lists shall be sent by the examiners directly to the COE.
8. The candidate should secure a minimum of 55% marks in each of the written papers to be eligible to appear for viva-voce.
9. The viva-voce will be conducted by the panel of examiners appointed by the Shri Dharmasthala Manjunatheshwara University.

10. If the difference in the marks awarded by the external and the internal examiner for the theory papers exceeds 15%, the concerned answer scripts will be evaluated by a third examiner appointed by the COE.
11. The marks awarded by the third examiner will be sent to the COE by the Chairman and results will be announced according to the following grading system.
12. After the Viva-Voce examination, the marks shall be communicated to the COE by the Chairman for finalization and announcement of result.
13. Grade Result (Inclusive of theory and Viva Marks)
 - a. Distinction – When aggregate marks secured by the student are 75% and above
 - b. Pass – When marks secured by the student are 55% to 74% in each of the theory paper and viva-voce separately and the aggregate marks are less than 75%.
 - c. Fail – When the marks secured by the student are less than 55%.
14. In case the candidate is not successful in the Pre Ph.D. Examination in the first attempt he/she can appear for the entire examination again after six months.
15. If the candidate fails also in the second appearance for the Pre Ph.D. examination, his/her provisional registration stands cancelled. Provisional registration of the candidates will be confirmed only after they pass the Pre-Ph.D. examination.
16. The candidates registered for the Ph.D. programmes shall be available in the department throughout the programme and the Head of the Department shall maintain their attendance in the department. He/she should not be performing any other job or assignment during his/her course tenure.
17. The candidates registered for the Ph.D. programme should give a minimum of two Open House Seminars during the programme.

C. Research Progress/Half yearly progress report

1. After provisional registration, every candidate will submit half yearly progress reports regularly in the prescribed format through the Research Supervisor to the Registrar of the University with a copy to the Head of the Department concerned and Head of the Institution.
2. The Research project submitted by the candidates should have been cleared by the appropriately constituted Institutional Ethics Committee and Institutional Animal Ethics Committee before submission of the 1st progress report by the candidate.
3. The half yearly progress report will be assessed by the subject experts and external evaluators and comments/observations will be communicated to the concerned Research Scholar and Research Supervisor through Ph.D. Committee by COE and Registrar.
4. The block period for submission of half yearly report shall be from 1st January to the end of June and from 1st July to the end of December. However, the first report immediately after registration of the scholar will be submitted by the end of the current block period.
5. All Ph.D. candidates, while submitting their current progress report, should submit the summary of each of his/her previous half yearly progress reports in order and suggestions made by the previous reviewers along with university letters sent to the candidates for approval of the current report.
6. In case the progress of the research scholar is unsatisfactory, the Ph.D. Committee of the respective faculty shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement the suggested corrective measures, the Ph.D. Committee of the respective faculty may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.
7. If two consecutive half yearly progress reports are not satisfactory, the Ph.D. Committee of the respective faculty may recommend for cancellation of the registration of the respective candidate to the University.

8. All the Ph.D. students should maintain a Logbook, duly signed by the Research Supervisor & Head of the Department / Institution and should be made available at the time of inspection and examination.

VI. EVALUATION AND ASSESSMENT METHOD, MINIMUM STANDARD FOR AWARD OF PH.D. DEGREE:

Following prerequisites are essential for a scholar to submit the thesis to the University.

- A. A scholar must have published a minimum of three research papers in indexed journals on the research work during course work.
 - B. A scholar shall do at least two research paper presentations in conferences / seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation, certificates and / or reprints.
 - C. At least one of the papers should be published by the scholar as 1st author and other two as first, second or corresponding author in the indexed journals.
 - D. The publications made by the Ph.D. scholar will be verified and certified by the University Library, Shri Dharmasthala Manjunatheshwara University.
 - E. The academic council (or its equivalent body) of the institution shall evolve a mechanism using a well developed software and gadgets to detect plagiarism.
 - F. While submitting the thesis for evaluation, the candidate will submit an undertaking and a certificate from the Research Supervisor attesting the originality of the work, vouching that there is no plagiarism (the permissible similarity should not exceed 15% of total contents) and that the work has not been submitted for the award of any other degree/diploma of the same institute where the work was carried out, or to any other institute.
-
1. Following the successful completion of the evaluation process and before the announcement of the Ph.D. degree, the institution concerned shall submit an electronic copy of the Ph.D. thesis to Shri Dharmasthala Manjunatheshwara University through proper channel for hosting the same on the website so as to make it accessible to all Institutions/Colleges.

2. The Ph.D. scholar will submit three hard copies and three soft copies of the thesis to the University, not earlier than the prescribed minimum period and not later than the prescribed maximum period.
3. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her research supervisor and at least two external examiners (who are not teachers of the constituent institutions of Shri Dharmasthala Manjunatheshwara University). One of the examiners may be from outside the country.
4. The public viva voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation reports of the external examiner(s) on the dissertation thesis is /are satisfactory.
5. If any of the reports is unsatisfactory, the University shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva voce examination shall be held only if the report of the newly appointed external examiner is satisfactory. If the report of the newly appointed examiner is also unsatisfactory, the thesis shall be rejected and the research scholar may be declared ineligible for the award of the degree.
6. If the thesis is accepted by both the examiners for award of Ph.D. degree the candidate will be informed to present the thesis before a committee chaired by the Research Supervisor and at least one of the two external examiners. The presentation will be open to Members of the Ph.D. Committee, all faculty members of the Department, other research scholars and other interested experts/researchers. This presentation may be called "Open Defence" of the Ph.D. thesis. If the defence of the thesis by the scholar is found satisfactory and accepted by the examiners the University shall Award the Ph.D. Degree to the scholar.
7. The university shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

VII. THE ACADEMIC, ADMINISTRATIVE AND INFRASTRUCTURE REQUIREMENT TO BE FULFILLED BY INSTITUTIONS/COLLEGES FOR GETTING RECOGNITION TO OFFER PH. D. PROGRAMME

- A. All the constituent institutions or departments of the institutes/collages of Shri Dharmasthala Manjunatheshwara University can apply to the Registrar, Shri Dharmasthala Manjunatheshwara University for recognition to offer Ph.D. programme following are the guidelines to apply for the recognition.
- B. The institute/department should be recognized as a post graduate department by Shri Dharmasthala Manjunatheshwara University fulfilling the following norms...
 - 1. Institute/department must have the necessary infrastructure, facilities such as exclusive research laboratory/s with adequate equipment, instruments etc.
 - 2. Institute/department must provide adequate space per research scholar.
 - 3. Institute/department must have computer facilities, internet connection, essential software, and uninterrupted power and water supply to carry out research.
 - 4. The institute/department must have qualified Research Supervisor/s recognized by Shri Dharmasthala Manjunatheshwara University.
 - 5. The institute/department must have offered a postgraduate course for a minimum period of five years.
- C. The institute/department may access facilities available at the neighbouring institutions/ Colleges, R&D laboratories or Organizations through a MoU.
- D. A non-teaching research institute may be recognized by Shri Dharmasthala Manjunatheshwara University to offer a Ph.D. programme after recommendation by an expert committee appointed by the University.

- E. Any non-teaching institutions seeking recognition for Ph.D. programmes:
1. Should be a centre of research activity as evidenced by on-going research projects funded/ sponsored by reputed agencies like ICMR, AICTE, DST, and UGC etc.
 2. Should have specifically equipped research labs with the requisite infrastructure and facilities for the proposed Ph.D. programme.
- F. The non-teaching institute / Department which proposes to start the Ph.D. programme in the institution should have at least three staff members with post graduate qualification.

VIII. DEPOSITORY WITH INFLIBNET

- A. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the institution concerned shall submit the electronic copy of the Ph.D. dissertation to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/ colleges.
- B. Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016.

APPENDIX 1

Research Advisory Committee (RAC) and its functions:

There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned for Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:

1. To review the research proposal and finalize the topic of research
2. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
3. To periodically review and assist in the progress of the research work of the research scholar.

Ph.D. Research Advisory Committee:

The Research Advisory Committee (RAC) should be formed for each scholar by the Ph.D. Guide / Supervisor and should include the following members (Minimum 04 (four) and Maximum 06 (six) members): -

- (1) Ph.D. Supervisor / Guide
- (2) Subject Expert/ Co-supervisor
- (3) Biostatistician
(Qualified with M.Sc. Biostatistics, approved by the University)
- (4) External Member
- (5) Others (Ex: Clinical Expert)



SDM College of Medical Sciences & Hospital



SDM College of Dental Sciences & Hospital



SDM College of Physiotherapy &
SDM Institute of Nursing Sciences



Shri Dharmasthala Manjunatheshwara University



SDM Research Institute for Biomedical Sciences



Panoramic View of Campus